

Copyright and Funders Mandates

SHERPA Plus – Birmingham

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Today

- RoMEO
- JULIET
- Wellcome Trust Project

Self-archiving

- The process by which an academic author deposits the metadata and an electronic full text of his/her publications in an open access repository or website, which is free to users.

RoMEO

- **Publisher numbers** (259 listed on 09/03/07)
- **Search formats**
 - ISSN
 - Journal
 - Publisher

RoMEO Colour Scheme

- Highlights publisher's archiving policies

Green (38%) Can archive both pre & post-prints	Blue (25%) Can archive post-print only
Yellow (10%) Can archive pre-print only	White (27%) Archiving not formally supported

Figures accurate as of March 2007

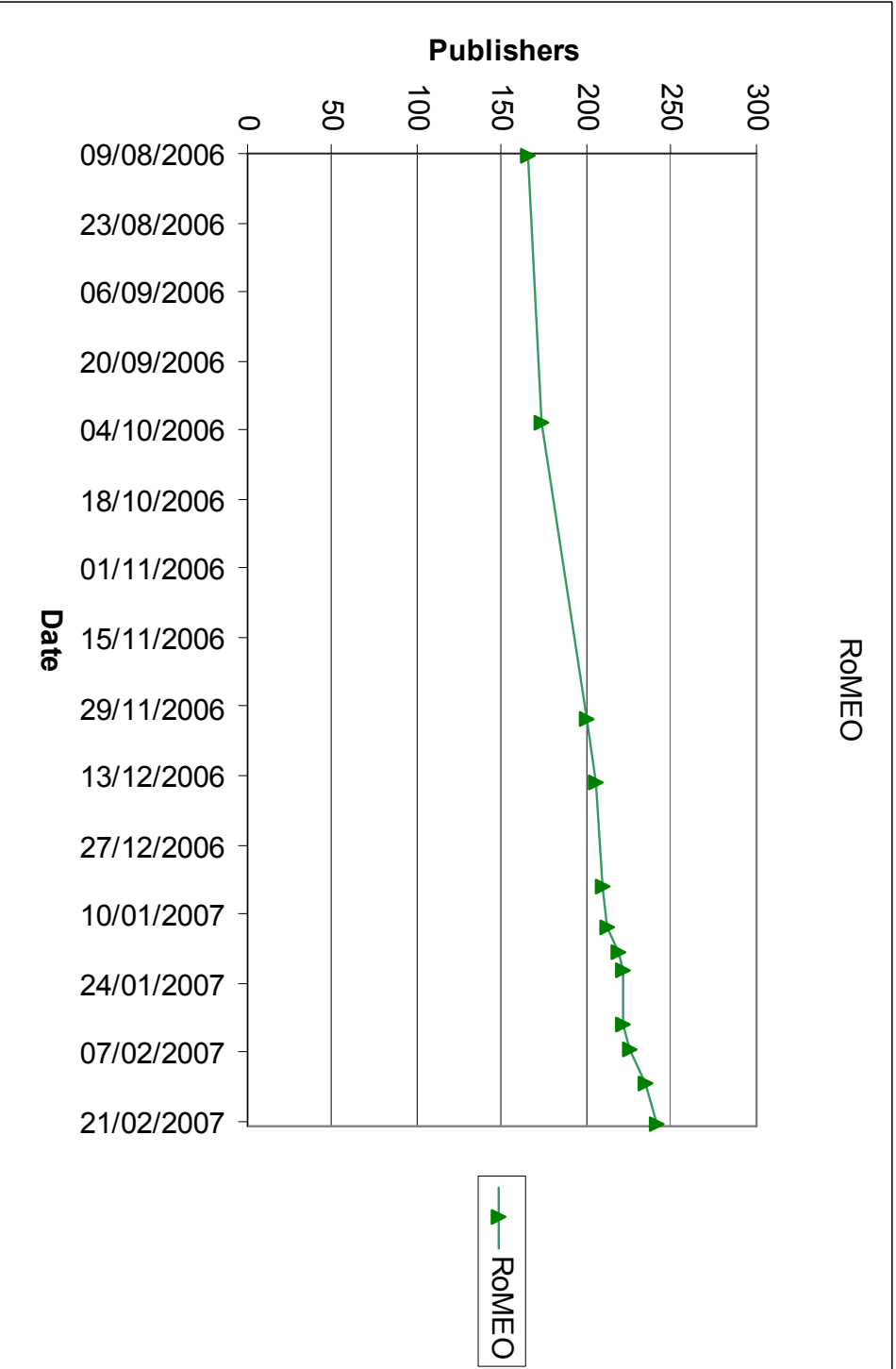
- Prohibitive restrictions reduce colour level

Conditions & Restrictions

- Two forms of policy rule amendments
- **Conditions**
 - Can be easily accommodated
 - Do not hinder author archiving
 - E.g. *Publisher copyright & source must be acknowledged*
- **Restrictions**
 - Are more prohibitive
 - Require additional actions from author
 - May block public access to eprints
 - E.g. *4 year embargo on deposition*

ROMEO

ROMEO



Maintaining RoMEO

- **Publishers & Journals**
 - Information supplied by the British Library & Zetoc
 - Not all publishers as of yet included on RoMEO
- **New suggestions or updates**
 - Globally from publishers, academics, librarians or public
 - Generally email contact via online form
 - Number of regular contributors
 - Some publishers unknown by the BL
- **All suggestions & updates manually examined**
 - Ensures elimination of spam
 - Quality assures provided service information
 - Allows for bespoke response to each

Simplified Procedure

- 1. Locate publisher's Web site**
 - Ensure scholarly publications
- 2. Find publisher's copyright transfer agreement (CTA)**
 - Generally under information for authors
 - May vary between different journals from same publisher
- 3. Translate CTA into RoMEO standardised phrases**
 - More readily understandable
 - Easy to compare publishers
- 4. Publisher contacted**
 - Proposed record presented allowing for clarification
 - Encourages Open Access compliance
- 5. Final record made live**
 - Pending further updates or policy changes
 - Updates to Zetoc & British Library

RoMEO Challenges

- **No information online**
 - Publisher reluctance or uncertainty
 - Not all respond...a problem!
- **Conflicting information**
 - Two different sets of guidelines
 - Poorly phrased guidance
- **Unclear boundaries**
 - Common for learned societies using publishers
- **Changes to publisher permissions**
 - Try to keep site up to date
 - Some publisher rights change frequently
- **Increasing size of RoMEO data set**
 - Full time job

Some Solutions

- **Communication with publishers & editors**
 - Electronically, via phone or in-person
- **Attempt to maintain good relations**
 - With individual publishers & key contacts
 - With RoMEO users globally
 - With the OA, academic & publishing communities
- **Viewpoint that OA is companion process**
 - Not in competition with publishers
 - Studies on ArXiv seem positive for this
- **Archiving status unclear**
 - Not ideal, but indicates activity.

RoMEO - Developments

Wellcome Trust Project



Wellcome Trust work

- Commissioned to determine publisher compliance with Wellcome Trust mandate
- Contacted publishers listed on RoMEO at time (185).
- Updated RoMEO accordingly

Wellcome Trust Mandate

- **Whether to Archive:**
 - Deposit mandatory
- **What to Archive:**
 - Author's final version
 - Published version/pdf preferred
- **When to Archive:**
 - When accepted for publication
 - Acceptable embargo: up to 6 months after publication
- **Where to Archive:**
 - PubMed Central
 - UK PubMed Central
- **Conditions:**
 - Applies to all grants awarded after 1 October 2005
 - From 1 October 2006 to all grants regardless of award date

Wellcome Trust - Results

- Oct 2006 (end of project)
 - 185 Publishers surveyed
 - 130 Biomedical
 - 70 (54%) Wellcome Trust compliant
 - 20 (15%) in discussion

Wellcome Trust – Results (updated)

- Feb 2007
 - 249 publishers on RoMEO
 - 143 Biomedical
 - 91 (63%) Wellcome Trust compliant
 - 19 (13%) in discussion

RoMEO Changes

- Created sections in RoMEO entries to display **Mandate compliance** and **Paid OA** options
- Expanded all entries to include compliance with other funding agencies listed on JULIET

RoMEO - Backlog

- **Backlog**
 - Waiting for publishers to confirm policies
- Should we name and shame publishers that do not reply to enquiries?
 - In a few cases been waiting for 2 years, with reminders for a reply

RoMEO Demo

- How to search RoMEO
- How to suggest publishers
- How to suggest changes to a journals publisher

RoMEO Demo

- [Http://www.sherpa.ac.uk/romeo.php](http://www.sherpa.ac.uk/romeo.php)

SHERPA/RoMEO - Publisher copyright policies & self-archiving - Microsoft Internet Explorer

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Address <http://www.sherpa.ac.uk/romeo.php> Go

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Publisher copyright policies & self-archiving

Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.

Search

Search **Journal titles** **Publisher names**

for

find **contains** or **starts with** or **Exact phrase only** **ISSN**

Browse

- [All publishers](#)
- [Green publishers](#)
- [Blue publishers](#)
- [Yellow publishers](#)
- [White publishers](#)

[What the colours mean.](#)

This service is maintained by SHERPA, with support from [JISC](#) and the [Wellcome Trust](#). It is a development of the original journal publishers' listings produced by the [RoMEO Project](#). Journal information is kindly provided by the British Library's [Zetoc](#) service hosted by MIMAS. The information held here is available for use by third-parties under [conditions for re-use](#) and a Creative Commons licence. See a [list of sites](#) that are already using RoMEO data. A [prototype API](#) is available and being developed for m2m access: for example to be integrated into a repository's deposit process. [Statistics](#) are available for RoMEO colours allocated to the publishers in this list. [Contact us](#) for further information.

Done Internet

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SHERPA/RoMEO - Publisher copyright policies & self-archiving - Mozilla Firefox

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http://www.sherpa.ac.uk/romeo.php?title=Endocrinology+-Philadelphia-&issn=0013-7227&pub=ENDOCRINE+SOCIETY&sherpapub=Endocrine+Society

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Publisher copyright policies & self-archiving

Journal: **Endocrinology -Philadelphia-** (ISSN: 0013-7227)
 Publisher: **Endocrine Society**

These summaries are for the publishers *default* policies and changes or exceptions can often be negotiated by authors. *All information is correct to the best of our knowledge but should not be relied upon for legal advice.*

Publisher:	Endocrine Society
Pre-print:	✗ author cannot archive pre-print (ie pre-refereeing)
Post-print:	✓ author can archive post-print (ie final draft post-refereeing)
Conditions:	<ul style="list-style-type: none"> • On institutional repository, PubMed Central, UK PubMed Central and PubMed Central International • Author version only • Publisher's version/PDF cannot be used • May link to publisher version
Mandated OA:	✓ Wellcome Trust (Compliant) ; ✓ ARC (Compliant) ; ✓ BBSRC (Compliant) ; ✓ MRC (Compliant) ; ✓ NIH (Compliant)
Copyright:	view policy (pdf)
RoMEO:	This is a RoMEO blue publisher
Update:	suggest update for this record

Search again?

Search **Journal titles** **Publisher names**

for

find **contains** or **starts with** or **Exact phrase only** **ISSN**

RoMEO colour	Archiving policy
green	can archive pre-print and post-print
blue	can archive post-print (ie final draft post-refereeing)
yellow	can archive pre-print (ie pre-refereeing)
white	archiving not formally supported
more on colours and restrictions	
or	View all publishers

Journal information kindly provided by the British Library's [Zetoc](#) service hosted by MIMAS.

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RoMEO – The Future

- To provide improved policy information by journal
- Any suggestions on how to improve RoMEO?

RoMEO

Questions?

JULIET

- Currently 20 funders listed
- Awaiting 3 policies (UK)
- 11 UK
- 2 Australia
- 1 each Austria, France, Germany and USA
- 3 (and 1 awaiting) from charities

JULIET - Developments

- Looking at contacting funding agencies and charities that fund research

JULIET Demo

- How to use JULIET
- How to suggest Mandates

JULIET Demo

- <http://www.sherpa.ac.uk/juliet/>

SHERPA - JULIET - Research funders' open access policies - Mozilla Firefox

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http://www.sherpa.ac.uk/juliet/

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Research funders' open access policies

Use this page to find a summary of policies given by various research funders as part of their grant awards. Information on the JULIET breakdown of these policies is given in the [key](#) below the table.

Research Funder (linked to Juliet summary)	Whether to archive	What to archive	When to archive	Country
Arthritis Research Campaign (arc)	✓	✓	-	United Kingdom
Arts and Humanities Research Council (AHRC)	-	-	-	United Kingdom
Australian Research Council (ARC)	-	✓	-	Australia
Biotechnology and Biological Sciences Research Council (BBSRC)	✓	✓	-	United Kingdom
British Heart Foundation (BHF)	✓	✓	-	United Kingdom
Cancer Research UK	-	-	-	United Kingdom
Chief Scientist Office, Scottish Executive (CSO)	✓	✓	-	United Kingdom
Council for the Central Laboratory of the Research Councils (CCLRC)	-	✓	-	United Kingdom
Department of Health / National Institute for Health Research	✓	✓	-	United Kingdom
Deutsche Forschungsgemeinschaft (DFG)	-	✓	-	Germany
Economic and Social Research Council (ESRC)	✓	✓	-	United Kingdom
Engineering and Physical Sciences Research Council (EPSRC)	-	-	-	United Kingdom
Fonds zur Förderung der wissenschaftlichen Forschung (FWF)	-	✓	-	Austria
Medical Research Council (MRC)	✓	✓	-	United Kingdom
National Health and Medical Research Council (NHMRC)	-	✓	-	Australia
National Institutes of Health (NIH)	-	✓	-	United States
Natural Environment Research Council (NERC)	✓	✓	-	United Kingdom
Particle Physics and Astronomy Research Council (PPARC)	✓	✓	-	United Kingdom
Wellcome Trust	✓	✓	-	United Kingdom

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SHERPA - JULIET - Arthritis Research Campaign - Mozilla Firefox

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http://www.sherpa.ac.uk/juliet/index.php?fid=14&fName=Arthritis%20Research%20Campaign

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Research funders' open access policies

Funder: [Arthritis Research Campaign \(arc\)](#)

Country: United Kingdom

Whether to Archive: * Deposit required

What to Archive: * Authors final version

When to Archive: * At the earliest possible opportunity
* Acceptable embargo: up to 6 months after publication

Where to Archive: * In an open access repository such as [UK PubMed Central](#) or institutional repository

Conditions: * Applies to all peer reviewed research papers published by grant holders (funded in whole or part) from 1st January 2007, regardless of award date

Policy Links: * [Policy guidance](#)

Juliet: Supports Open Access to Research *with two ticks*

Update: [Suggest an update for this record](#)

This information is accurate to the best of our knowledge, but should not be relied upon for legal advice.

[Table of all Funders' Policies >>](#)

JULIET and Support for Open Access to Research

The ideal support for Open Access to research would mandate open access dissemination of the final research outputs as a condition of grant without any embargo period. There are therefore three key parts to an ideal Open Access policy. JULIET assigns an Open Access tick when each condition is met.

Key Conditions

- ✓ Whether to Archive: Deposit required
- ✓ What to Archive: Author's final version or published PDF/version required
- ✓ When to Archive: When accepted for publication

Other Conditions & Fields

- * Where to Archive: This condition is less essential for Open Access purposes, as long as the server used is stable and the material is made available for the long-term.
- * Conditions: Conditions are noted where they apply to specific aspects of funder's requirements
- * Policy Links: Links are given to policy information or advice that is available on-line
- * Juliet: Funders are noted as supporting Open Access to research - with one, two or three ticks where appropriate.

Find: rtested in Find Next Find Previous Highlight all Match case Phrase not found

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JULIET

Questions?

