

# IPR, Copyright and Funders Mandates

SHERPA Plus

[Jane.h.smith@nottingham.ac.uk](mailto:Jane.h.smith@nottingham.ac.uk)

Services Development Officer, SHERPA  
University of Nottingham



- Copyright Transfer Agreements
- Way to retain rights
- RoMEO & JULIET
- Wellcome Trust Project

# Copyright Transfer Agreements

- Authors may be signing away their rights to re-use their own work
- Including providing copies to colleagues/students for study
- Patents

# Retaining rights

How?

- Open Access Journals
- Self-archiving
- JISC-SURF license

Why?

- Retain more rights
- Increase availability of work
- Increase citations

# Open Access Journals

- Authors able to:
  - Re-use
  - Re-publication (sometimes with an embargo period)
  - Self-archiving
- Available free online immediately on publication

# Self-archiving

- The process by which an academic author deposits the metadata and an electronic full text of his/her publications in an open access repository or website, which is free to users.

# Self-archiving

- Pre or Post-prints
- 74% publishers now allow some form of self-archiving

# JISC-SURF License to Publish

- Should be used where a publisher offers no or unsuitable archiving rights.
- Publishers may refuse to accept this license.
- (<http://copyrighttoolbox.surf.nl/>)



# Helpful Tools

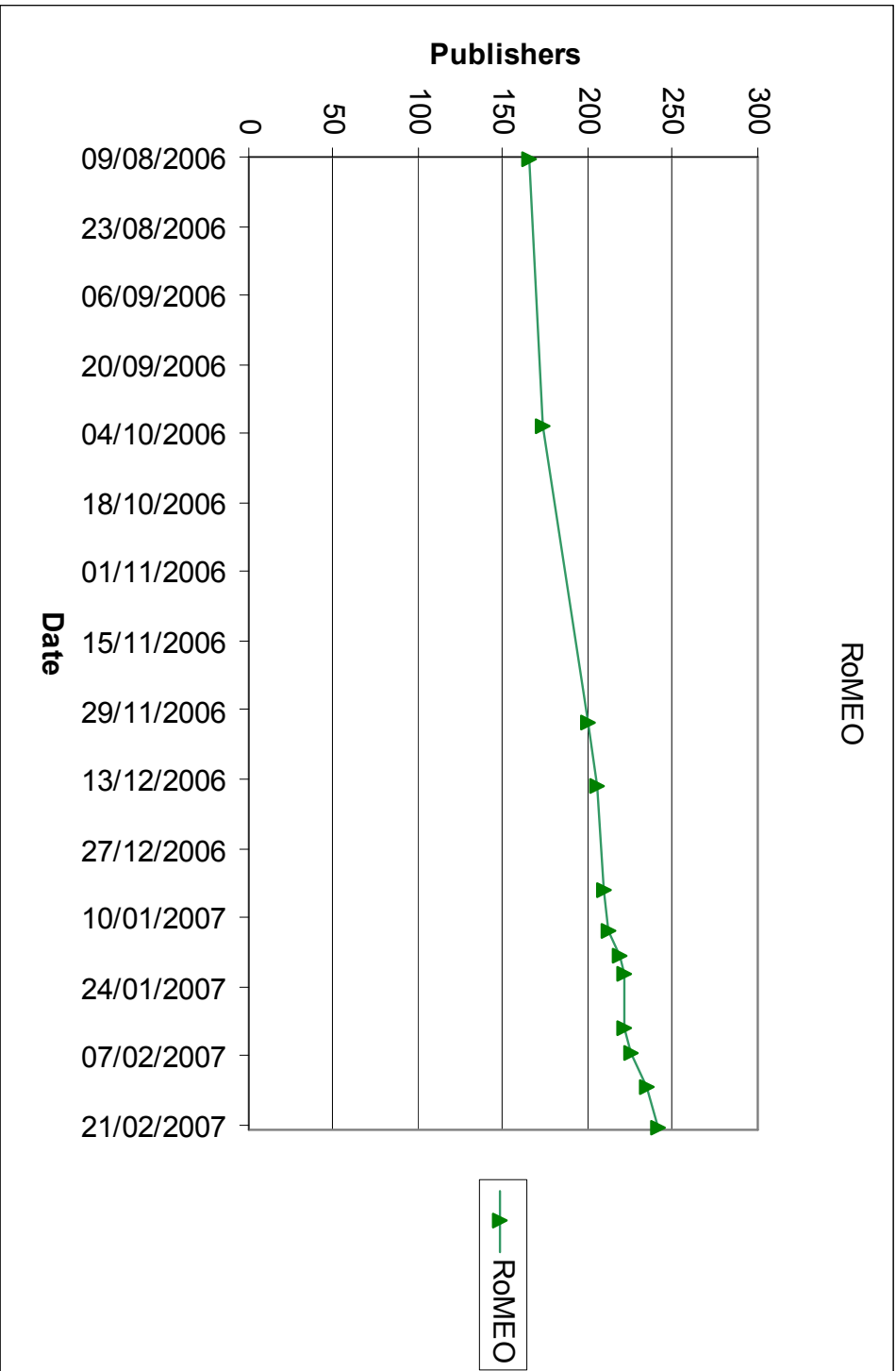
- DOAJ – lists OA journals available
- RoMEO – publishers policies on OA/self-archiving
- JULIET – funders mandates on OA
- OpenDOAR –lists repositories available

# RoMEO

- Publisher numbers (251 listed on 01/03/07)
- Search formats
  - ISSN
  - Journal
  - Publisher

# ROMEO

ROMEO



# RoMEO - Developments

- Mandates
  - Summary of compliance with funder mandates
- Paid OA
  - Link to Paid OA options where these alter self-archiving rights

# RoMEO - Backlog

- Backlog
  - Waiting for publishers to confirm policies
- Should we name and shame publishers that do not reply to enquiries?
  - In a few cases been waiting for 2 years, with reminders for a reply

# RoMEO Demo

- How to search RoMEO
- How to suggest publishers
- How to suggest changes to a journals publisher

# RoMEO Demo

- [Http://www.sherpa.ac.uk/romeo.php](http://www.sherpa.ac.uk/romeo.php)

SHERPA/RoMEO - Publisher copyright policies & self-archiving - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites RSS Print Mail Stop

Address <http://www.sherpa.ac.uk/romeo.php> Go

# SHERPA RoMEO

...opening access to research

[Home](#) | [Guidance](#) | [Repositories](#) | [Projects](#) | [Links](#) | [About](#) | [Contacts](#)

## Publisher copyright policies & self-archiving

Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.

### Search

Search  **Journal titles**  **Publisher names**

for

find  **contains** or  **starts with** or  **Exact phrase only**  **ISSN**

### Browse

- [All publishers](#)
- [Green publishers](#)
- [Blue publishers](#)
- [Yellow publishers](#)
- [White publishers](#)

[What the colours mean.](#)

This service is maintained by SHERPA, with support from [JISC](#) and the [Wellcome Trust](#). It is a development of the original journal publishers' listings produced by the [RoMEO Project](#). Journal information is kindly provided by the British Library's [Zetoc](#) service hosted by MIMAS. The information held here is available for use by third-parties under [conditions for re-use](#) and a Creative Commons licence. See a [list of sites](#) that are already using RoMEO data. A [prototype API](#) is available and being developed for m2m access: for example to be integrated into a repository's deposit process. [Statistics](#) are available for RoMEO colours allocated to the publishers in this list. [Contact us](#) for further information.

Done Internet

start Calendar - Mi... UoN postgrad... postgrad talk... SHERPA/RoM... 12:38



SHERPA/RoMEO - Publisher copyright policies & self-archiving - Mozilla Firefox

File Edit View Go Bookmarks Tools Help

http://www.sherpa.ac.uk/romeo.php?title=Endocrinology+Philadelphia&issn=0013-7227&pub=ENDOCRINE+SOCIETY&sherpapub=Endocrine+Society

SHERPA/RoMEO - Pu... Creating Open Acces... OpenDOAR - Home P... AltaVista - Babel Fish ... Language Tools SHERPA - Glossary of... Staff Handbook - Uni... ulrichsweb.com(TM) - ... Zetoc homepage



...opening access to research

[Home](#) | [Guidance](#) | [Repositories](#) | [Projects](#) | [Links](#) | [About](#) | [Contacts](#)

## Publisher copyright policies & self-archiving

Journal: **Endocrinology -Philadelphia-** (ISSN: 0013-7227)  
 Publisher: **Endocrine Society**

These summaries are for the publishers *default* policies and changes or exceptions can often be negotiated by authors.  
*All information is correct to the best of our knowledge but should not be relied upon for legal advice.*

<b>Publisher:</b>	<a href="#">Endocrine Society</a>
<b>Pre-print:</b>	 author <b>cannot</b> archive pre-print (ie pre-refereeing)
<b>Post-print:</b>	 author <b>can</b> archive post-print (ie final draft post-refereeing)
<b>Conditions:</b>	<ul style="list-style-type: none"> <li>On institutional repository, PubMed Central, UK PubMed Central and PubMed Central International</li> <li>Author version only</li> <li>Publisher's version/PDF cannot be used</li> <li>May link to publisher version</li> </ul>
<b>Mandated OA:</b>	 <a href="#">Wellcome Trust (Compliant)</a> ;  <a href="#">ARC (Compliant)</a> ;  <a href="#">BBSRC (Compliant)</a> ;  <a href="#">MRC (Compliant)</a> ;  <a href="#">NIH (Compliant)</a>
<b>Copyright:</b>	<a href="#">view policy (pdf)</a>
<b>RoMEO:</b>	This is a <a href="#">RoMEO blue</a> publisher
<b>Update:</b>	<a href="#">suggest update for this record</a>

**Search again?**

Search  **Journal titles**  **Publisher names**

for

find  **contains** or  **starts with** or  **Exact phrase only**  **ISSN**

RoMEO colour	Archiving policy
<a href="#">green</a>	can archive pre-print and post-print
<a href="#">blue</a>	can archive post-print (ie final draft post-refereeing)
<a href="#">yellow</a>	can archive pre-print (ie pre-refereeing)
<a href="#">white</a>	archiving not formally supported
<b>or</b>	<a href="#">View all publishers</a>

[more on colours and restrictions](#)

Journal information kindly provided by the British Library's [Zetoc](#) service hosted by MIMAS.

© 2006, University of Nottingham [Contact us](#)

Find: cannot    Highlight all  Match case

Done

start SHERPA/RoME... Inbox - Microso... Document3 - Mi... January2007,d... Marys Graduate... Nottingham eTh... 16:13

# RoMEO – The Future

- To provide improved policy information by journal
- Any suggestions on how to improve RoMEO?

# RoMEO

- Any publishers you would like to see added?
  - In a particular subject area?

# JULIET

- Requirements by funders
- Currently 20 funders listed
  - Awaiting 3 policies (UK)
  - 11 UK
  - 2 Australia
  - 1 each Austria, France, Germany and USA
  - 3 (and 1 awaiting) from charities

# JULIET - Developments

- Keeping eye on News
- Looking at contacting funding agencies and charities that fund research

# JULIET Demo

- How to use JULIET
- How to suggest Mandates

# JULIET Demo

- <http://www.sherpa.ac.uk/juliet/>

SHERPA - JULIET - Research funders' open access policies - Mozilla Firefox

File Edit View Go Bookmarks Tools Help

http://www.sherpa.ac.uk/juliet/

SHERPA/RoMEO - Pu... Creating Open Acces... OpenDOAR - Home P... AltaVista - Babel Fish ... Language Tools SHERPA - Glossary of... Staff Handbook - Uni... ulrichsweb.com(TM) -... Zetoc homepage

SHERPA/RoMEO - Publisher copyright policie... BBC NEWS | England SHERPA - JULIET - Research funders' ...

# SHERPA JULIET

... opening access to research

[Home](#) | [Guidance](#) | [Repositories](#) | [Projects](#) | [Links](#) | [About](#) | [Contacts](#)

## Research funders' open access policies

Use this page to find a summary of policies given by various research funders as part of their grant awards. Information on the JULIET breakdown of these policies is given in the [key](#) below the table.

Research Funder (linked to Juliet summary)	Whether to archive	What to archive	When to archive	Country
<a href="#">Arthritis Research Campaign (arc)</a>	✓	✓	-	United Kingdom
<a href="#">Arts and Humanities Research Council (AHRC)</a>	-	-	-	United Kingdom
<a href="#">Australian Research Council (ARC)</a>	-	✓	-	Australia
<a href="#">Biotechnology and Biological Sciences Research Council (BBSRC)</a>	✓	✓	-	United Kingdom
<a href="#">British Heart Foundation (BHF)</a>	✓	✓	-	United Kingdom
<a href="#">Cancer Research UK</a>	-	-	-	United Kingdom
<a href="#">Chief Scientist Office, Scottish Executive (CSO)</a>	✓	✓	-	United Kingdom
<a href="#">Council for the Central Laboratory of the Research Councils (CCLRC)</a>	-	✓	-	United Kingdom
<a href="#">Department of Health / National Institute for Health Research</a>	✓	✓	-	United Kingdom
<a href="#">Deutsche Forschungsgemeinschaft (DFG)</a>	-	✓	-	Germany
<a href="#">Economic and Social Research Council (ESRC)</a>	✓	✓	-	United Kingdom
<a href="#">Engineering and Physical Sciences Research Council (EPSRC)</a>	-	-	-	United Kingdom
<a href="#">Fonds zur Förderung der wissenschaftlichen Forschung (FWF)</a>	-	✓	-	Austria
<a href="#">Medical Research Council (MRC)</a>	✓	✓	-	United Kingdom
<a href="#">National Health and Medical Research Council (NHMRC)</a>	-	✓	-	Australia
<a href="#">National Institutes of Health (NIH)</a>	-	✓	-	United States
<a href="#">Natural Environment Research Council (NERC)</a>	✓	✓	-	United Kingdom
<a href="#">Particle Physics and Astronomy Research Council (PPARC)</a>	✓	✓	-	United Kingdom
<a href="#">Wellcome Trust</a>	✓	✓	-	United Kingdom

Done

start | Inbox - Microso... | SHERPA - JULIE... | Microsoft Of... | Microsoft Power... | R:\(Ua)\SHERPA\... | Microsoft Excel | 13:31





... opening access to research

[Home](#) | [Guidance](#) | [Repositories](#) | [Projects](#) | [Links](#) | [About](#) | [Contacts](#)

## Research funders' open access policies

**Funder:** [Arthritis Research Campaign \(arc\)](#)

**Country:** United Kingdom

**Whether to Archive:** \* Deposit required

**What to Archive:** \* Authors final version

**When to Archive:** \* At the earliest possible opportunity  
\* Acceptable embargo: up to 6 months after publication

**Where to Archive:** \* In an open access repository such as [UK PubMed Central](#) or institutional repository

**Conditions:** \* Applies to all peer reviewed research papers published by grant holders (funded in whole or part) from 1st January 2007, regardless of award date

**Policy Links:** \* [Policy guidance](#)

**Juliet:** Supports Open Access to Research with two ticks

**Update:** [Suggest an update for this record](#)

*This information is accurate to the best of our knowledge, but should not be relied upon for legal advice.*

[Table of all Funders' Policies >>](#)

### JULIET and Support for Open Access to Research

The ideal support for Open Access to research would mandate open access dissemination of the final research outputs as a condition of grant without any embargo period. There are therefore three key parts to an ideal Open Access policy. JULIET assigns an Open Access tick when each condition is met.

#### Key Conditions

- ✓ Whether to Archive: Deposit required
- ✓ What to Archive: Author's final version or published PDF/version required
- ✓ When to Archive: When accepted for publication

#### Other Conditions & Fields

- \* Where to Archive: This condition is less essential for Open Access purposes, as long as the server used is stable and the material is made available for the long-term.
- \* Conditions: Conditions are noted where they apply to specific aspects of funder's requirements
- \* Policy Links: Links are given to policy information or advice that is available on-line
- \* Juliet: Funders are noted as supporting Open Access to research - with one, two or three ticks where appropriate.

# JULIET

- Should we add on funding agencies that we know have no mandate? Eg Leverhulme Trust?

# Wellcome Trust work

- Commissioned to determine publisher compliance with Wellcome Trust mandate
- Contacted publishers listed on RoMEO at time (185).
- Updated RoMEO accordingly

# Wellcome Trust Mandate

- **Whether to Archive:**
  - Deposit mandatory
- **What to Archive:**
  - Author's final version
  - Published version/pdf preferred
- **When to Archive:**
  - When accepted for publication
  - Acceptable embargo: up to 6 months after publication
- **Where to Archive:**
  - PubMed Central
  - UK PubMed Central
- **Conditions:**
  - Applies to all grants awarded after 1 October 2005
  - From 1 October 2006 to all grants regardless of award date

# Wellcome Trust - Results

- Oct 2006 (end of project)
  - 185 Publishers surveyed
  - 130 Biomedical
  - 70 (54%) Wellcome Trust compliant
  - 20 (15%) in discussion

# Wellcome Trust – Results (updated)

- Feb 2007
  - 249 publishers on RoMEO
  - 143 Biomedical
  - 91 (63%) Wellcome Trust compliant
  - 19 (13%) in discussion

# Wellcome Trust - RoMEO

- Created sections in RoMEO entries to display Mandate compliance and Paid OA options
- Expanded all entries to include compliance with other funding agencies listed on JULIET

# Links

- RoMEO

<http://www.sherpa.ac.uk/romeo.php>

- JULIET

<http://www.sherpa.ac.uk/juliet/index.php>

- JISC-SURF license

<http://copyrighttoolbox.surf.nl/>